

**American Patriot Foundation, Inc.**

404 "D" STREET, N.E.  
WASHINGTON, D.C. 20002

U.S. SENATOR BOB SMITH, N.H., RET'D,  
PRESIDENT

Supplement to

June 10, 2003

Federal Election Commission  
999 "E" Street, N.W.  
Washington, D.C. 20463

AOR 2003-18

RECEIVED  
FEDERAL ELECTION  
COMMISSION  
OFFICE OF LEGAL  
COUNSEL  
2003 JUN 16 A 9:02

Gentlemen:

Further to the letter from Sen. Bob Smith, dated May 16, 2003, subsequently hand delivered to your office, formally requesting an Advisory Opinion, please find enclosed a copy of the Articles of Incorporation for the American Patriot Foundation, Inc., as well as a copy of IRS Form 1023 submitted by the American Patriot Foundation in seeking recognition for exemption under section 501 c 3 of the Internal Revenue Service Code. These items were said in Sen. Smith's letter to be enclosed, but were inadvertently omitted therefrom.

Sincerely yours,



PAUL ROLF JENSEN  
Executive Director

RECEIVED  
FEDERAL ELECTION  
COMMISSION  
OFFICE OF LEGAL  
COUNSEL  
2003 JUN 16 P 4:21

GOVERNMENT OF THE DISTRICT OF COLUMBIA  
DEPARTMENT OF CONSUMER AND REGULATORY AFFAIRS



**C E R T I F I C A T E**

**THIS IS TO CERTIFY** that all applicable provisions of the District of Columbia NonProfit Corporation Act have been complied with and accordingly, this **CERTIFICATE OF INCORPORATION** is hereby issued to:

**AMERICAN PATRIOT FOUNDATION**

**IN WITNESS WHEREOF I** have hereunto set my hand and caused the seal of this office to be affixed as of the **10th** day of **March, 2003**.

David Clark  
DIRECTOR

Elizabeth O. Kim  
Administrator  
Business Regulation Administration

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Maxine M. Hinson  
Act. Assistant Superintendent of Corporations  
Corporations Division

Anthony A. Williams  
Mayor

**ARTICLES OF INCORPORATION  
OF  
AMERICAN PATRIOT FOUNDATION**

TO:

Department of Consumer and Regulatory Affairs  
Business and Professional Licensing Administration  
Corporations Division  
941 North Capitol Street, NE  
Washington, D.C. 20002

We, the undersigned natural persons of the age of twenty-one years or more, acting as incorporators of a corporation which shall have its principal office in the District of Columbia, and which is organized under the NON-PROFIT CORPORATION ACT (D.C. Code, Title 29, Chapter 3) of the District of Columbia, do hereby adopt the following Articles of Incorporation:

**FIRST:** The name of the corporation is AMERICAN PATRIOT FOUNDATION.

**SECOND:** The period of its duration is perpetual.

**THIRD:** The purpose or purposes for which this corporation is organized, are: To be a non-partisan, non-profit national foundation with the primary function of educating the American public as to the importance and relevance in today's society of the United States Constitution, the Declaration of Independence, the Federalist Papers and other founding documents. To achieve this goal, the corporation will educate and advocate (through a broad diversity of educational programs) to the American public the importance in today's society of patriotism itself, and of the patriotism of the founding fathers and other patriots throughout our nation's history, and how their philosophies shape our destiny, and thereby to rediscover our heritage of freedom.

**FOURTH:** The corporation will not have members.

**FIFTH:** The Board of Directors of the corporation shall by majority vote, elect successor and additional directors.

**SIXTH:** The internal affairs of the Corporation shall be managed by persons hired by the Board of Directors for that purpose, specifically, the President, the Executive Director, the General Counsel/Secretary, and the Treasurer. A person can hold more than one of these titles simultaneously except for the President or Vice President cannot act as the Secretary or the Assistant Secretary. Management shall have the responsibility for the daily operations of the corporation to achieve the above-stated purposes of the corporation. Such managerial responsibilities shall include but not be limited to programming, conducting fundraising, establishing and maintaining internal accounting controls and managing information technology.

No part of the net earnings of the corporation shall inure to the benefit of, or be distributable to its members, trustees, officers or other private persons, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article Third hereof. No substantial part of the activities of the corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the corporation shall not participate in, or intervene in (including the publishing or distribution of statements) any political campaign on behalf of or in opposition to any candidate for public office.

Notwithstanding any other provision of these Articles, the corporation shall not carry on any other activities not permitted to be carried on: (a) by a corporation exempt from federal income tax under section 501 ( c ) (3) of the Internal Revenue Code, or the corresponding section of any future federal tax code, or (b) by a corporation, contributions to which are deductible under section 170 ( c ) (2) of the Internal Revenue Code, or the corresponding section of any future federal tax code.

**SEVENTH:** Upon the dissolution of the corporation, assets shall be distributed for one or more exempt purposes within the meaning of section 501 ( c ) (3) of the Internal Revenue Code, or the corresponding section of any future federal tax code, or shall be distributed to the federal government, or to a state or local government, for a public purpose. Any such assets not so disposed of shall be disposed of by a Court of Competent Jurisdiction of the District of Columbia if the principal office of the corporation is then located in the District of Columbia (if the principal office of the corporation is not then located in the District of Columbia, then by a Court of Competent Jurisdiction in the State and County in which the principal office of the corporation is then located) exclusively for such purposes or to such organization or such organizations, as said Court shall determine, which are organized and operated exclusively for such purposes.

**EIGHTH:** The address of the initial registered office of the corporation is: 401 D Street, NE, Washington, D.C. 20002. The name and address of the initial registered agent at such address is: Sue Corrigan.

**NINTH:** The number of directors constituting the Board of Directors is three, provided however, that the Board of Directors may from time to time by majority vote, increase or decrease its number without formal amendment of these Articles of Incorporation, provided however, there shall never be less than three directors. The names and addresses of the persons who are to serve as directors until their successors are elected are:

Bob Smith, 2 Chase Point Road, Tuftonboro, NH 03894  
Paul Rolf Jensen, 10304 East Hunter Valley Rd., Vienna, VA 22181  
Roger Grenier, 53 Carleton Way, Hale's Location, NH 03860.

**TENTH:** The names and addresses of the incorporators of the corporation are:

Bob Smith, 2 Chase Point Road, Tuftonboro, NH 03894  
Paul Rolf Jensen, 10304 East Hunter Valley Rd., Vienna, VA 22181  
Roger Grenier, 53 Carleton Way, Hale's Location, NH 03860.

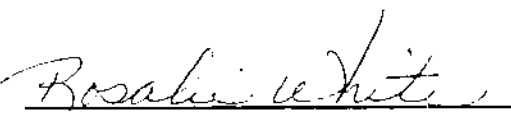
In witness whereof, we have hereunto subscribed our names this 26<sup>th</sup> day of February, 2003:

  
BOB SMITH

  
PAUL ROLF JENSEN

  
ROGER GRENIER

I, Rosalie White, a Notary Public, hereby certify that on the 26<sup>th</sup> day of February 2003, BOB SMITH, PAUL ROLF JENSEN and ROGER GRENIER appeared before me and signed the foregoing document as incorporators, and have averred that the statements contained therein are true.

  
Commission expires on  
February 29, 2004

DEPARTMENT OF CONSUMER AND REGULATORY AFFAIRS  
BUSINESS AND PROFESSIONAL LICENSING ADMINISTRATION  
CORPORATIONS DIVISION  
941 NORTH CAPITOL STREET, N.E.  
WASHINGTON, D.C. 20002

Government  
of the  
District of Columbia

WRITTEN CONSENT TO ACT AS REGISTERED AGENT

TO:  
The Superintendent of Corporations  
Department of Consumer and Regulatory Affairs  
Business and Professional Licensing Administration,  
Corporations Division  
941 North Capitol Street, N.E.  
Washington, D.C. 20002

**(A) BY A DISTRICT OF COLUMBIA RESIDENT**  
PURSUANT TO D.C. CODE TITLE 29, and TITLE 41

I, SUE CORRIHAN

A Bona fide Resident of the District of Columbia Herein Consent to Act as a Registered Agent For:

AMERICAN PATRIOT FOUNDATION

Name of Business

SIGNATURE OF REGISTERED AGENT

[Signature]  
DATE: 2-27-2003

**(B) BY A LEGALLY AUTHORIZED CORPORATION**  
THE CORPORATION HEREIN NAMED IS:

An Authorized Corporate Registered Agent in the District of Columbia, per Signatures of its President/Vice-President and Secretary/Assistant Secretary, Herein Consents to Act as Registered Agent

For:

NAME OF COMPANY

SIGNATURE: \_\_\_\_\_ OF PRESIDENT OR VICE-PRESIDENT

ATTEST: \_\_\_\_\_ OF SECRETARY OR ASSISTANT SECRETARY

DATE: \_\_\_\_\_

**Application for Recognition of Exemption**  
**Under Section 501(c)(3) of the Internal Revenue Code**

Note: If exempt status is approved, this application will be open for public inspection.

Read the instructions for each Part carefully.

**A User Fee must be attached to this application.**

If the required information and appropriate documents are not submitted along with Form 8718 (with payment of the appropriate user fee), the application may be returned to you.

**Complete the Procedural Checklist on page 8 of the instructions.**

**Part I Identification of Applicant**

<b>1a</b> Full name of organization (as shown in organizing document) American Patriot Foundation		<b>2</b> Employer identification number (EIN) (If none, see page 3 of the Specific Instructions.) 30 0158521
<b>1b</b> c/o Name (if applicable) Joe Corrigan		<b>3</b> Name and telephone number of person to be contacted if additional information is needed Paul Rolf Jensen (202) 352-5117
<b>1c</b> Address (number and street) 401 "D" Street, NE	Room/Suite	
<b>1d</b> City, town, or post office, state, and ZIP + 4. If you have a foreign address, see Specific Instructions for Part I, page 3. Washington, D.C. 20002		<b>4</b> Month the annual accounting period ends December
<b>1e</b> Web site address none		<b>5</b> Date incorporated or formed March 10, 2003
<b>7</b> Did the organization previously apply for recognition of exemption under this Code section or under any other section of the Code? If "Yes," attach an explanation.		<b>6</b> Check here if applying under section: a <input type="checkbox"/> 501(e) b <input type="checkbox"/> 501(f) c <input type="checkbox"/> 501(k) d <input type="checkbox"/> 501(n)
<b>8</b> Is the organization required to file Form 990 (or Form 990-EZ)? If "No," attach an explanation (see page 3 of the Specific Instructions).		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>9</b> Has the organization filed Federal income tax returns or exempt organization information returns? If "Yes," state the form numbers, years filed, and Internal Revenue office where filed.		<input type="checkbox"/> N/A <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

**10** Check the box for the type of organization. ATTACH A CONFORMED COPY OF THE CORRESPONDING ORGANIZING DOCUMENTS TO THE APPLICATION BEFORE MAILING. (See Specific Instructions for Part I, Line 10; on page 3.) See also Pub. 557 for examples of organizational documents.)

- a ☒ Corporation—Attach a copy of the Articles of Incorporation (including amendments and restatements) showing approval by the appropriate state official; also include a copy of the bylaws.
- b ☐ Trust—Attach a copy of the Trust Indenture or Agreement, including all appropriate signatures and dates.
- c ☐ Association—Attach a copy of the Articles of Association, Constitution, or other creating document, with a declaration (see instructions) or other evidence the organization was formed by adoption of the document by more than one person; also include a copy of the bylaws.

If the organization is a corporation or an unincorporated association that has not yet adopted bylaws, check here ☒

I declare under the penalties of perjury that I am authorized to sign this application on behalf of the above organization and that I have examined this application, including the accompanying schedules and attachments, and to the best of my knowledge it is true, correct, and complete.

Please Sign Here

  
(Signature)

Bob Smith, President

(Type or print name and title or authority of signer)

15 March 2003

(Date)

**Part II** Activities and Operational Information

- 1 Provide a detailed narrative description of all the activities of the organization—past, present, and planned. Do not merely refer to or repeat the language in the organizational document. List each activity separately in the order of importance based on the relative time and other resources devoted to the activity. Indicate the percentage of time for each activity. Each description should include, as a minimum, the following: (a) a detailed description of the activity including its purpose and how each activity furthers your exempt purpose; (b) when the activity was or will be initiated; and (c) where and by whom the activity will be conducted.

Please see attached.

- 2 What are or will be the organization's sources of financial support? List in order of size.

Solicitations to the general public  
Grants from other 501 (c) (3) organizations  
Contributions from for profit organizations  
Contributions from major individuals donors

- 3 Describe the organization's fundraising program, both actual and planned, and explain to what extent it has been put into effect. Include details of fundraising activities such as selective mailings, formation of fundraising committees, use of volunteers or professional fundraisers, etc. Attach representative copies of solicitations for financial support.
- The organization will conduct large-scale direct mail solicitations and telemarketing to those solicited by mail. A major fundraising dinner is anticipated annually. Grants will be requested from targeted like-minded 501 (c) (3) organizations and for-profit companies and organizations. Large contributions will be solicited from a small number (relatively) of individuals. Of all of the foregoing, only a few solicitations have been made to date, and some \$100,000 has been pledged, although not yet received.



**Form 1023 Application for Recognition of Exemption**  
**Part II, Question 1**  
**Extension of Remarks**

A description of the activity and its purpose	How this activity furthers our exempt purpose	When the activity will be initiated	Where and by whom the activity will be conducted
1. Educational speakers to make presentations at junior and senior high schools and colleges nationwide on patriotism and its relevance in today's society.	1. The speeches and presentations will instill and foster patriotism in young Americans, which is a specific exempt purpose of our organization.	1. During the school year, commencing in September 2003	1. At schools and colleges throughout the nation, by nationally recognized patriots and military and civic persons of renown.
2. Distribute newsletters and other publications and educational materials on the US Constitution, Federalist Papers, etc. in order to foster patriotism.	2. The publications and other materials will instill and foster patriotism in young Americans, which is a specific exempt purpose of our organization.	2. This activity will be ongoing, commencing in the fall of 2003.	2. The dissemination will be primarily by mail from our headquarters, and conducted by our staff.
3. Conduct seminars and conferences to foster patriotism on the subject of how our founding documents (Constitution, Federalist Papers, etc) are relevant to today's society.	3. These programs will instill and foster patriotism in Americans of all ages, which is a specific exempt purpose of our organization.	3. This activity will be ongoing, commencing in the fall of 2003.	3. These will be conducted nationwide, mainly by our staff and directors, but also by outside participants who are persons of military and civic renown for their patriotic sacrifices to our country.
4. Distributing scholarships and making awards to persons who write an essay on the	4. These awards and scholarships will instill and foster patriotism in Americans of all	4. This activity will be ongoing, commencing in May, 2003.	4. The awards will be made nationwide, by our staff.

Form 1023 Application for Recognition of Exemption  
Part II, Question 1  
Extension of Remarks, Page two

meaning of patriotism, and of the relation of patriotism and of our founding documents to today's society. Awards will be made at public ceremonies to persons who have recently made significant sacrifices on account of their patriotism (e.g., military, fireman, policemen).

Ages, which is a specific exempt purpose of our organization.

The percentage of our staff time devoted to these endeavors, as a percentage of staff time devoted to all programs, is estimated as follows:

1. 10%
2. 40%
3. 20%
4. 30%

**Part II** Activities and Operational Information (Continued)**4** Give the following information about the organization's governing body:**a** Names, addresses, and titles of officers, directors, trustees, etc.

Bob Smith, 2 Chase Point Road, Tuftonboro, NH 03894  
Director/President

Paul Rolf Jensen, 10304 East Hunter Valley Rd., Vienna, VA 22181  
Director/Secretary/Executive Director/General Counsel

Roger Grenier, 53 Carleton Way, Hale's Location, NH 03860  
Director

**b** Annual compensation

0

100,000

0

- c** Do any of the above persons serve as members of the governing body by reason of being public officials or being appointed by public officials? ☐ Yes ☒ No  
If "Yes," name those persons and explain the basis of their selection or appointment.

- d** Are any members of the organization's governing body "disqualified persons" with respect to the organization (other than by reason of being a member of the governing body) or do any of the members have either a business or family relationship with "disqualified persons"? (See **Specific Instructions** for Part II, Line 4d, on page 3.) ☐ Yes ☒ No  
If "Yes," explain.

- 5** Does the organization control or is it controlled by any other organization? ☐ Yes ☒ No  
Is the organization the outgrowth of (or successor to) another organization, or does it have a special relationship with another organization by reason of interlocking directorates or other factors? ☐ Yes ☒ No  
If either of these questions is answered "Yes," explain.

- 6** Does or will the organization directly or indirectly engage in any of the following transactions with any political organization or other exempt organization (other than a 501(c)(3) organization): (a) grants; (b) purchases or sales of assets; (c) rental of facilities or equipment; (d) loans or loan guarantees; (e) reimbursement arrangements; (f) performance of services, membership, or fundraising solicitations; or (g) sharing of facilities, equipment, mailing lists or other assets, or paid employees? ☐ Yes ☒ No  
If "Yes," explain fully and identify the other organizations involved.

- 7** Is the organization financially accountable to any other organization? ☐ Yes ☒ No  
If "Yes," explain and identify the other organization. Include details concerning accountability or attach copies of reports if any have been submitted.

**Part II** Activities and Operational Information (Continued)

- 8 What assets does the organization have that are used in the performance of its exempt function? (Do not include property producing investment income.) If any assets are not fully operational, explain their status, what additional steps remain to be completed, and when such final steps will be taken. If none, indicate "N/A."

N/A

- 9 Will the organization be the beneficiary of tax-exempt bond financing within the next 2 years? ☐ Yes ☒ No

- 10a Will any of the organization's facilities or operations be managed by another organization or individual under a contractual agreement? ☐ Yes ☒ No

- b Is the organization a party to any leases? ☐ Yes ☒ No

If either of these questions is answered "Yes," attach a copy of the contracts and explain the relationship between the applicant and the other parties.

- 11 Is the organization a membership organization? ☐ Yes ☒ No

If "Yes," complete the following:

- a Describe the organization's membership requirements and attach a schedule of membership fees and dues.

- b Describe the organization's present and proposed efforts to attract members and attach a copy of any descriptive literature or promotional material used for this purpose.

- c What benefits do (or will) the members receive in exchange for their payment of dues?

- 12a If the organization provides benefits, services, or products, are the recipients required, or will they be required, to pay for them? ☒ N/A ☐ Yes ☐ No

If "Yes," explain how the charges are determined and attach a copy of the current fee schedule.

- b Does or will the organization limit its benefits, services, or products to specific individuals or classes of individuals? ☒ N/A ☐ Yes ☐ No

If "Yes," explain how the recipients or beneficiaries are or will be selected.

- 13 Does or will the organization attempt to influence legislation? ☐ Yes ☒ No

If "Yes," explain. Also, give an estimate of the percentage of the organization's time and funds that it devotes or plans to devote to this activity.

- 14 Does or will the organization intervene in any way in political campaigns, including the publication or distribution of statements? ☐ Yes ☒ No

If "Yes," explain fully.

**Part III** Technical Requirements

- 1 Are you filing Form 1023 within 15 months from the end of the month in which your organization was created or formed? ☒ Yes ☐ No  
If you answer "Yes," do not answer questions on lines 2 through 6 below.

- 2 If one of the exceptions to the 15-month filing requirement shown below applies, check the appropriate box and proceed to question 7.

**Exceptions**—You are not required to file an exemption application within 15 months if the organization:

- ☐ a Is a church, interchurch organization of local units of a church, a convention or association of churches, or an integrated auxiliary of a church. See **Specific Instructions**, Line 2a, on page 4;
- ☐ b Is not a private foundation and normally has gross receipts of not more than \$5,000 in each tax year; or
- ☐ c Is a subordinate organization covered by a group exemption letter, but only if the parent or supervisory organization timely submitted a notice covering the subordinate.

- 3 If the organization does not meet any of the exceptions on line 2 above, are you filing Form 1023 within 27 months from the end of the month in which the organization was created or formed? ☐ Yes ☐ No

If "Yes," your organization qualifies under Regulation section 301.9100-2, for an automatic 12-month extension of the 15-month filing requirement. Do not answer questions 4 through 6.

If "No," answer question 4.

- 4 If you answer "No" to question 3, does the organization wish to request an extension of time to apply under the "reasonable action and good faith" and the "no prejudice to the interest of the government" requirements of Regulations section 301.9100-3? ☐ Yes ☐ No

If "Yes," give the reasons for not filing this application within the 27-month period described in question 3. See **Specific Instructions**, Part III, Line 4, before completing this item. Do not answer questions 5 and 6.

If "No," answer questions 5 and 6.

- 5 If you answer "No" to question 4, your organization's qualification as a section 501(c)(3) organization can be recognized only from the date this application is filed. Therefore, do you want us to consider the application as a request for recognition of exemption as a section 501(c)(3) organization from the date the application is received and not retroactively to the date the organization was created or formed? ☐ Yes ☐ No

- 6 If you answer "Yes" to question 5 above and wish to request recognition of section 501(c)(4) status for the period beginning with the date the organization was formed and ending with the date the Form 1023 application was received (the effective date of the organization's section 501(c)(3) status), check here ☐ and attach a completed page 1 of Form 1024 to this application.

**Part III** Technical Requirements (Continued)

7 Is the organization a private foundation?

☐ Yes (Answer question 8.)

☒ No (Answer question 9 and proceed as instructed.)

8 If you answer "Yes" to question 7, does the organization claim to be a private operating foundation?

☐ Yes (Complete Schedule E.)

☐ No

After answering question 8 on this line, go to line 14 on page 7.

9 If you answer "No" to question 7, indicate the public charity classification the organization is requesting by checking the box below that most appropriately applies:

**THE ORGANIZATION IS NOT A PRIVATE FOUNDATION BECAUSE IT QUALIFIES:**

- |                                       |  |  |
|---------------------------------------|--|--|
| a <input type="checkbox"/>            | As a church or a convention or association of churches<br>(CHURCHES MUST COMPLETE SCHEDULE A.)   | Sections 509(a)(1)<br>and 170(b)(1)(A)(i)                          |
| b <input type="checkbox"/>            | As a school (MUST COMPLETE SCHEDULE B.)  | Sections 509(a)(1)<br>and 170(b)(1)(A)(ii)                         |
| c <input type="checkbox"/>            | As a hospital or a cooperative hospital service organization, or a<br>medical research organization operated in conjunction with a<br>hospital (These organizations, except for hospital service<br>organizations, MUST COMPLETE SCHEDULE C.)                                      | Sections 509(a)(1)<br>and 170(b)(1)(A)(iii)                        |
| d <input type="checkbox"/>            | As a governmental unit described in section 170(c)(1).   | Sections 509(a)(1)<br>and 170(b)(1)(A)(v)                          |
| e <input type="checkbox"/>            | As being operated solely for the benefit of, or in connection with,<br>one or more of the organizations described in a through d, g, h, or i<br>(MUST COMPLETE SCHEDULE D.)  | Section 509(a)(3)  |
| f <input type="checkbox"/>            | As being organized and operated exclusively for testing for public<br>safety.  | Section 509(a)(4)  |
| g <input type="checkbox"/>            | As being operated for the benefit of a college or university that is<br>owned or operated by a governmental unit.  | Sections 509(a)(1)<br>and 170(b)(1)(A)(iv)                         |
| h <input checked="" type="checkbox"/> | As receiving a substantial part of its support in the form of<br>contributions from publicly supported organizations, from a<br>governmental unit, or from the general public.   | Sections 509(a)(1)<br>and 170(b)(1)(A)(vi)                         |
| i <input type="checkbox"/>            | As normally receiving not more than one-third of its support from<br>gross investment income and more than one-third of its support from<br>contributions, membership fees, and gross receipts from activities<br>related to its exempt functions (subject to certain exceptions). | Section 509(a)(2)  |
| j <input type="checkbox"/>            | The organization is a publicly supported organization but is not sure<br>whether it meets the public support test of h or i. The organization<br>would like the IRS to decide the proper classification.   | Sections 509(a)(1)<br>and 170(b)(1)(A)(vi)<br>or Section 509(a)(2) |

If you checked one of the boxes a through f in question 9, go to question

14. If you checked box g in question 9, go to questions 11 and 12.

If you checked box h, i, or j, in question 9, go to question 10.

**Part III** Technical Requirements (Continued)

- 10** If you checked box h, i, or j in question 9, has the organization completed a tax year of at least 8 months?
- ☐ **Yes**—Indicate whether you are requesting:
- ☐ A definitive ruling. (Answer questions 11 through 14.)
- ☐ An advance ruling. (Answer questions 11 and 14 and attach two Forms 872-C completed and signed.)
- ☒ **No**—You must request an advance ruling by completing and signing two Forms 872-C and attaching them to the Form 1023.
- 11** If the organization received any unusual grants during any of the tax years shown in Part IV-A, **Statement of Revenue and Expenses**, attach a list for each year showing the name of the contributor; the date and the amount of the grant; and a brief description of the nature of the grant.

- 12** If you are requesting a definitive ruling under section 170(b)(1)(A)(iv) or (vi), check here ☐ and:

- a** Enter 2% of line 8, column (e), Total, of Part IV-A . . . . .
- b** Attach a list showing the name and amount contributed by each person (other than a governmental unit or "publicly supported" organization) whose total gifts, grants, contributions, etc., were more than the amount entered on line 12a above.

- 13** If you are requesting a definitive ruling under section 509(a)(2), check here ☐ and:

- a** For each of the years included on lines 1, 2, and 9 of Part IV-A, attach a list showing the name of and amount received from each "disqualified person." (For a definition of "disqualified person," see **Specific Instructions**, Part II, Line 4d, on page 3.)
- b** For each of the years included on line 9 of Part IV-A, attach a list showing the name of and amount received from each payer (other than a "disqualified person") whose payments to the organization were more than \$5,000. For this purpose, "payer" includes, but is not limited to, any organization described in sections 170(b)(1)(A)(i) through (vi) and any governmental agency or bureau.

<b>14</b> Indicate if your organization is one of the following. If so, complete the required schedule. (Submit only those schedules that apply to your organization. <b>Do not submit blank schedules.</b> )	<b>Yes</b>	<b>No</b>	<b>If "Yes," complete Schedule:</b>
Is the organization a church? . . . . .		X	A
Is the organization, or any part of it, a school? . . . . .		X	B
Is the organization, or any part of it, a hospital or medical research organization? . . . . .		X	C
Is the organization a section 509(a)(3) supporting organization? . . . . .		X	D
Is the organization a private operating foundation? . . . . .		X	E
Is the organization, or any part of it, a home for the aged or handicapped? . . . . .		X	F
Is the organization, or any part of it, a child care organization? . . . . .		X	G
Does the organization provide or administer any scholarship benefits, student aid, etc.? . . . .		X	H
Has the organization taken over, or will it take over, the facilities of a "for profit" institution? . . . .		X	I

**Part IV Financial Data**

Complete the financial statements for the current year and for each of the 3 years immediately before it. If in existence less than 4 years, complete the statements for each year in existence. If in existence less than 1 year, also provide proposed budgets for the 2 years following the current year.

**A. Statement of Revenue and Expenses**

		Current tax year	3 prior tax years or proposed budget for 2 years			
		(a) From <u>3/10/03</u> to <u>12/31/03</u>	(b) <u>1/1/04</u> <u>12/31/04</u>	(c) <u>1/1/05</u> <u>12/31/05</u>	(d) _____	(e) TOTAL
Revenue	1 Gifts, grants, and contributions received (not including unusual grants—see page 6 of the instructions).	585,000	3,180,000	3,600,000		
	2 Membership fees received					
	3 Gross investment income (see instructions for definition)	1,000	10,000	10,000		
	4 Net income from organization's unrelated business activities not included on line 3.					
	5 Tax revenues levied for and either paid to or spent on behalf of the organization					
	6 Value of services or facilities furnished by a governmental unit to the organization without charge (not including the value of services or facilities generally furnished the public without charge).					
	7 Other income (not including gain or loss from sale of capital assets) (attach schedule)					
	8 Total (add lines 1 through 7)	586,000	3,190,000	3,610,000		
	9 Gross receipts from admissions, sales of merchandise or services, or furnishing of facilities in any activity that is not an unrelated business within the meaning of section 513. Include related cost of sales on line 22.					
	10 Total (add lines 8 and 9)					
Expenses	11 Gain or loss from sale of capital assets (attach schedule).					
	12 Unusual grants.	100,000				
	13 Total revenue (add lines 10 through 12).	686,000	3,190,000	3,610,000		
	14 Fundraising expenses	200,000	940,000	1,100,000		
	15 Contributions, gifts, grants, and similar amounts paid (attach schedule)					
	16 Disbursements to or for benefit of members (attach schedule)					
	17 Compensation of officers, directors, and trustees (attach schedule)	60,000	100,000	100,000		
	18 Other salaries and wages	60,000	410,000	410,000		
	19 Interest					
	20 Occupancy (rent, utilities, etc.)	30,000	84,000	84,000		
	21 Depreciation and depletion					
	22 Other (attach schedule)	323,000	1,632,000	1,898,000		
	23 Total expenses (add lines 14 through 22).	673,000	3,166,000	3,592,000		
	24 Excess of revenue over expenses (line 13 minus line 23)	13,000	24,000	18,000		



<u>Other Expenses</u>	3/10/03 to 12/31/03	Year ended 12/31/04	Year ended 12/31/05
Bank fees	5,000	10,000	16,000
Postage	2,000	7,500	7,500
Annual dinner	200,000	940,000	1,100,000
Furniture, computers	15,000	2,500	2,500
Telephone	5,000	35,000	35,000
Office supplies	1,500	3,000	3,000
Employment taxes	18,000	153,000	153,000
Employee benefits	11,000	40,000	40,000
Repairs + maintenance	500	1,000	1,000
Staff retreat	5,000	5,000	5,000
Travel	10,000	30,000	30,000
Program materials	50,000	375,000	475,000
Total	<u>323,000</u>	<u>1,632,000</u>	<u>1,898,000</u>

**Part IV** Financial Data (Continued)**B. Balance Sheet (at the end of the period shown)**

Current tax year

Date 3/18/2003**Assets**

1	Cash . . . . .	1	0
2	Accounts receivable, net . . . . .	2	0
3	Inventories . . . . .	3	0
4	Bonds and notes receivable (attach schedule) . . . . .	4	0
5	Corporate stocks (attach schedule) . . . . .	5	0
6	Mortgage loans (attach schedule) . . . . .	6	0
7	Other investments (attach schedule) . . . . .	7	0
8	Depreciable and depletable assets (attach schedule) . . . . .	8	0
9	Land . . . . .	9	0
10	Other assets (attach schedule) . . . . .	10	0
11	<b>Total assets</b> (add lines 1 through 10) . . . . .	11	0

**Liabilities**

12	Accounts payable . . . . .	12	0
13	Contributions, gifts, grants, etc., payable . . . . .	13	0
14	Mortgages and notes payable (attach schedule) . . . . .	14	0
15	Other liabilities (attach schedule) . . . . .	15	0
16	<b>Total liabilities</b> (add lines 12 through 15) . . . . .	16	0

**Fund Balances or Net Assets**

17	<b>Total fund balances or net assets</b> . . . . .	17	0
18	<b>Total liabilities and fund balances or net assets</b> (add line 16 and line 17) . . . . .	18	0

If there has been any substantial change in any aspect of the organization's financial activities since the end of the period shown above, check the box and attach a detailed explanation . . . . . ☐